



03CO #5

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: Hoogland, et. al.  
Serial Number: 09/826,723  
Filed: April 5, 2001  
Examiner: To be assigned  
Group Art Unit: 1714  
For: SOLVENT CAST OPTICAL FILM

**COMMUNICATION REGARDING MISSING PARTS**

Box Missing Parts  
Honorable Commissioner of  
Patents and Trademarks  
Washington, DC 20231

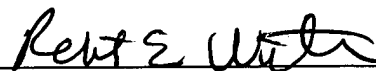
Sir:

This letter is in response to the Notice of Incomplete Reply (Nonprovisional) dated August 27, 2001, a copy of which is enclosed. Please see attached copies of what was sent to the USPTO on July 10, 2001 including a copy of the item in question. Please note the signature you indicated as missing is present on the documents attached.

Enclosed herewith is:

- ☒ COPY of Postcard Stamped and Dated from USPTO
- ☒ COPY of Letter Transmitting Missing Parts and Fees
- ☒ COPY of USPTO communication dated 5/22/2001
- ☒ COPY Assignment and Assignment Recordation Form  
Cover Sheet (6 pages)
- ☒ COPY Declaration and Power of Attorney. (5 pages)

Respectfully submitted,

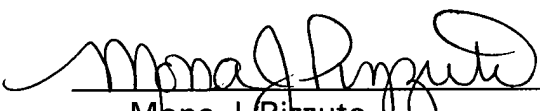
  
Robert E. Walter  
Attorney for Applicant  
Registration No. 25,245  
Telephone No. (413) 448-5459

GENERAL ELECTRIC COMPANY  
One Plastics Avenue  
Pittsfield, MA 01201

August 29, 2001

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231, on this 29th day of August, 2001

  
Mona J. Pizzuto



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# BUSINESS REPLY MAIL

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**Attn: Docket Clerk, Patent Operation**  
**General Electric Company**  
**One Plastics Avenue**  
**Pittsfield, MA 01201-9961**



THE STAMP OF THE PATENT OFFICE, PLACED HEREON, ACKNOWLEDGES RECEIPT OF:

Attorney Docket No.: 08CV05967

Date Mailed: July 10, 2001

*REW/myp*

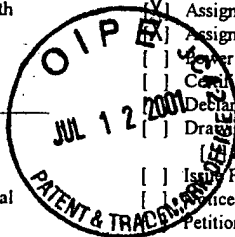
Applicant: Hoogland, et. al.

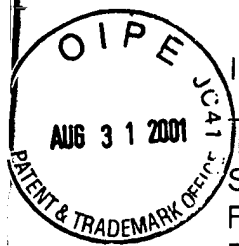
Serial No.: 09/826,723

Filed: April 5, 2001

For: SOLVENT CAST OPTICAL FILM

- |  |  |
|--|--|
| <input type="checkbox"/> Affidavit or <input type="checkbox"/> Declaration   | <input type="checkbox"/> Check for Filing Fee \$                       |
| <input checked="" type="checkbox"/> Application for Patent including<br>pages spec., claims                        | <input type="checkbox"/> Amendment/Response To Office Action           |
| <input checked="" type="checkbox"/> Declaration and Power of Attorney or <input type="checkbox"/> Oath             | <input type="checkbox"/> Brief, copies                                 |
| <input type="checkbox"/> Application for Intent-To-Use TM/SM   | <input checked="" type="checkbox"/> Assignment, check for \$           |
| <input checked="" type="checkbox"/> Application for TM/SM Reg. w/specimens   | <input checked="" type="checkbox"/> Assignment Recordation Cover Sheet |
| <input type="checkbox"/> Certificate of Express Mail 37 CFR 1.10   | <input type="checkbox"/> Power of Attorney by Assignee                 |
| Express Mail No. _____   | <input type="checkbox"/> Certificate of Mailing Under 37 CFR 1.8(a)    |
| <input type="checkbox"/> Information Disclosure Statement  | <input type="checkbox"/> Declaration Under Section 8 & 15              |
| <input type="checkbox"/> PTO Form 1449, <input type="checkbox"/> publications enclosed                             | <input type="checkbox"/> Drawings: sheets                              |
| <input type="checkbox"/> Power of Attorney   | <input type="checkbox"/> Formal or <input type="checkbox"/> Informal   |
| <input type="checkbox"/> Req. for Filing <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional | <input type="checkbox"/> Issue Fee Transmittal                         |
| Application Under 37 CR 1.60   | <input type="checkbox"/> Notice of Appeal                              |
| <input type="checkbox"/> Verified Statement to Establish Small Entity Status                                       | Petition for Extension of Time ( ) months                              |
| <input type="checkbox"/> Request for Drawing Correction  | <input type="checkbox"/> with check for \$                             |
| <input checked="" type="checkbox"/> Transmittal Letter (in duplicate) <b>Missing Parts</b>                         | <input type="checkbox"/> Priority Document                             |
| <input type="checkbox"/> Other: _____  | <input type="checkbox"/> Renewal Application TM/SM                     |





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hoogland, et. al.  
Serial Number: 09/826,723  
Filed: April 5, 2001  
Examiner: To be assigned  
Group Art Unit: To be assigned  
For: SOLVENT CAST OPTICAL FILM

**LETTER TRANSMITTING MISSING PARTS AND FEES**

Honorable Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Sir:

This letter is in response to the Notice to File Missing Parts of  
Application dated May 22, 2001, a copy of which is enclosed.

Enclosed herewith is:

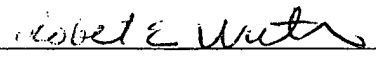
- ☒ Assignment and Assignment Recordation Form Cover Sheet
- ☒ Declaration and Power of Attorney.

The Commissioner is hereby authorized to charge the following fees to  
our Deposit Account No. 07-0862.

- ☒ \$40.00 fee for Recording of Assignment.
- ☒ \$130.00 surcharge for filing the Declaration on a date later  
than the filing date of the Application.
- ☒ Any additional fees which may be required in connection  
herewith or credit any overpayment.

**A DUPLICATE COPY OF THIS SHEET IS ENCLOSED.**

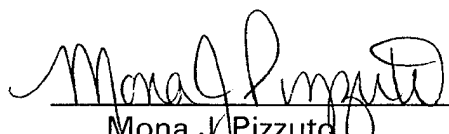
Respectfully submitted,

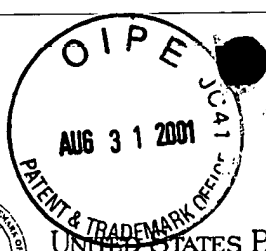
  
Robert E. Walter  
Attorney for Applicant  
Registration No. 25,245  
Telephone No. (413) 448-5459

GENERAL ELECTRIC COMPANY  
One Plastics Avenue  
Pittsfield, MA 01201  
July 10, 2001

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United  
States Postal Service as First Class mail in an envelope addressed to:  
Commissioner of Patents & Trademarks, Washington, D.C. 20231, on this  
10<sup>th</sup> day of July, 2001

  
Mona J. Pizzuto



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/826,723	04/05/2001	Gabrie Hoogland	08CV05967

CONFIRMATION NO. 6059

FORMALITIES LETTER



\*OC000000006101905\*

Robert E. Walter  
GE Plastic  
One Plastics Avenue  
Pittsfield, MA 01201

Date Mailed: 05/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)


*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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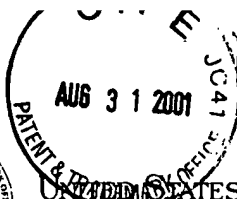
*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

#5



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/826,723	04/05/2001	Gabrie Hoogland	08CV05967

CONFIRMATION NO. 6059

## FORMALITIES LETTER



\*OC00000006478641\*

Robert E. Walter  
GE Plastic  
One Plastics Avenue  
Pittsfield, MA 01201

Date Mailed: 08/27/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 07/12/2001 to the Notice to File Missing Parts (Notice) mailed 05/22/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Kang Xi*

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE